

ATTORNEY'S DOCKET NO: 24140

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: <u>20</u> April 2000 (20.04.2000)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLN. NO. (if known): Not Yet Assigned <u>09/529784</u>
INTERNATIONAL APPLICATION NO.: PCT/CHL98/00471	INTERNATIONAL FILING DATE: 4 November 1998 (04.11.98)	PRIORITY DATE CLAIMED: 4 November 1997 (04.11.97)
TITLE OF INVENTION: METHOD FOR PRODUCING MULT-LAYERED PREFORMS		
APPLICANT(S) FOR DO/EO/US: HOFSTETTER, Otto ; FERNANDEZ Luis		
<p>Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)): <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ul> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:		
<ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> TRANSMITTAL FORM; FEE CALCULATION; INTERNATIONAL PUBLICATION WO 99/22926; INTERNATIONAL PUBLICATION DATE 4 NOVEMBER 1998; APPLICATION CONSISTING OF 26 PAGES INCLUDING; 1 COVER SHEET CONTAINING THE ABSTRACT; 18 PAGES TEXTUAL SPECIFICATION, 4 PAGES OF 10 CLAIMS; 3 SHEETS OF DRAWINGS (8 FIGURES); PCT/ISA/210 INTERNATIONAL SEARCH REPORT; ENGLISH LANGUAGE TRANSLATION OF ARTICAL 34 AMENDMENTS TO BE EXAMINED; PRELIMINARY AMENDMENT; EXECUTED INVENTOR'S DECLARATION, AN ASSIGNMENT AND RECORDATION COVER SHEET, AND A VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS PCT/IPEA/ 416 NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT; PCT/IPEA/409 INTERNATIONAL PRELIMINARY EXAMINATION REPORT WITH ARTICLE 34 IN (GERMAN); PCT/RO/101/ REQUEST.</li> </ol>		

422 Rec'd PCT/PTO 20 APR 2000

BOX PCT

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U.S. APPLICATION NO. (if known) Not yet assigned <b>09/529784</b>	INTERNATIONAL APPLICATION NO. PCT/CH98/00471	DATE <b>20</b> April 2000 ( <b>20.04.2000</b> )																										
<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO:.....\$840.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$670.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$760.00</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$970.00</p> <p>International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 96.00</p>		<u>CALCULATIONS</u>	<u>PTO USE ONLY</u>																									
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		<b>\$ 840.00</b>																										
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(e)).</p>		<b>\$ 00.00</b>																										
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NO. FILED</th> <th>NO. EXTRA</th> <th>RATE</th> <th></th> </tr> </thead> <tbody> <tr> <td>TOTAL</td> <td>10 -20=</td> <td>0</td> <td>0 X \$ 18.00</td> <td>\$ 00.00</td> </tr> <tr> <td>INDEPENDENT</td> <td>3 - 3=</td> <td>0</td> <td>0 X \$ 78.00</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="3">Multiple dependent claims(s) (if applicable)</td> <td>+ \$260.00</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td><b>\$ :00.00</b></td> </tr> </tbody> </table>		CLAIMS	NO. FILED	NO. EXTRA	RATE		TOTAL	10 -20=	0	0 X \$ 18.00	\$ 00.00	INDEPENDENT	3 - 3=	0	0 X \$ 78.00	\$ 0.00	Multiple dependent claims(s) (if applicable)			+ \$260.00	\$ 0.00	<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ :00.00</b>	<b>\$ 420.00</b>	
CLAIMS	NO. FILED	NO. EXTRA	RATE																									
TOTAL	10 -20=	0	0 X \$ 18.00	\$ 00.00																								
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<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$ :00.00</b>																								
<p>Reduction by <math>\frac{1}{2}</math> for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).</p>		<b>\$ 420.00</b>																										
<b>SUBTOTAL =</b>		<b>\$ 420.00</b>																										
<p>Processing fee of \$130.00 for furnishing the English translation later than <u>20</u> <u>30</u> months from the earliest claimed priority date (37 CFR 1.492(f)).</p>		<b>\$ 0.00</b>																										
<b>TOTAL NATIONAL FEE =</b>		<b>\$ 420.00</b>																										
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 per property +</b></p>		<b>\$ 40.00</b>																										
<b>TOTAL FEES ENCLOSED =</b>		<b>\$ 460.00</b>																										
		Amount to be: refunded charged	\$ _____																									

422 Rec'd PCT/PTO 20 APR 2000

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U.S. APPLICATION NO. (if known) Not Yet Assigned <b>097529784</b>	INTERNATIONAL APPLICATION NO. PCT/CH98/00471	DATE: <b>20</b> April 2000 ( <b>20</b> .04.2000)
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- a.  One check in the amount of \$860.00 to cover the above fees ,is enclosed.
- b.  Please charge my Deposit Account No. 14-0112 in the amount of \$\_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

Gary M. Nath  
 NATH & ASSOCIATES, PLLC  
 1030 15<sup>th</sup> Street, N.W.  
 Sixth Floor  
 Washington, D.C. 20005  
 (202) 775-8383 (phone)  
 (202) 775-8396 (fax)



GARY M. NATH  
 Registration Number 26,965

Rev. 02/98

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HOFSTETTER, Otto; FERNANDEZ, Luis

International Application No. PCT/CH98/00422 Rec'd PCT/PTO 20 APR 2000

Serial No. NOT YET ASSIGNED

International Filing Date: 4 November 1998 (04.11.98)

Filed: April 20, 2000

For: METHOD FOR PRODUCING MULTI-LAYERED PREFORMS

09/529784

TRANSMITTAL LETTER

The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter
- (2) Transmittal Letter To U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) International Publication No: WO 99/22926  
International Publication Date: 14 May 1999 (14.05.99) with English Language Translation consisting of:
  - 22 Pages including:
  - 1 Cover Sheet containing the Abstract
  - 14 Pages Textual Specification
  - 4 Pages of 8 claims
  - 3 Sheets of Drawings (8 figures)
- (4) PCT/ISA/210 International Search Report
- (5) English Language Translation of Article 34 Amendments to be examined
- (6) Preliminary Amendment
- (7) Executed Inventor's Declaration
- (8) Assignment and Recordation Cover Sheet
- (9) Verified Statement Claiming Small Entity Status
- (10) PCT/IPEA/416 Notification of Transmittal of International Preliminary Examination Report
- (11) PCT/IPEA/409 International Preliminary Examination Report with Article 34 (in German)
- (12) PCT/RO/101/ Request
- (13) Check No. 12759 \$420.00 for Government Filing Fee
- (14) Postcard for notification of serial number

Respectfully submitted,  
**NATH & ASSOCIATES, PLLC**

By:



Gary M. Nath  
Registration No. 26,965  
Customer No. 20529

Date: April 20, 2000**NATH & ASSOCIATES, PLLC**1030<sup>th</sup> 15<sup>th</sup> Street, NW - 6<sup>th</sup> Floor  
Washington, D.C. 20005

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